

**Design and Implementation of an Interoperable Risk based Food
Safety Inspection Information Management System (IIMS) for the
FCAU of Ministry of Health**

User Training Guide

Legal, Prosecution and Enforcement Management Module

Prepared By



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Key Summary

Project Name	Design and Implementation of an Interoperable Risk based Food Safety Inspection Information Management System (IIMS) for the FCAU of Ministry of Health
Tender No	2026/FASRL/FASRL/135600
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1. Legal and Enforcement Process

The Legal and Enforcement module enables authorized officers, including Public Health Inspectors (PHI) and Food and Drug Inspectors (FDI), to manage regulatory violations identified through inspections, laboratory testing, compliance monitoring, and other enforcement activities.

The module supports enforcement decision-making, detention management, prosecution tracking, legal case administration, and the maintenance of a complete regulatory audit trail.

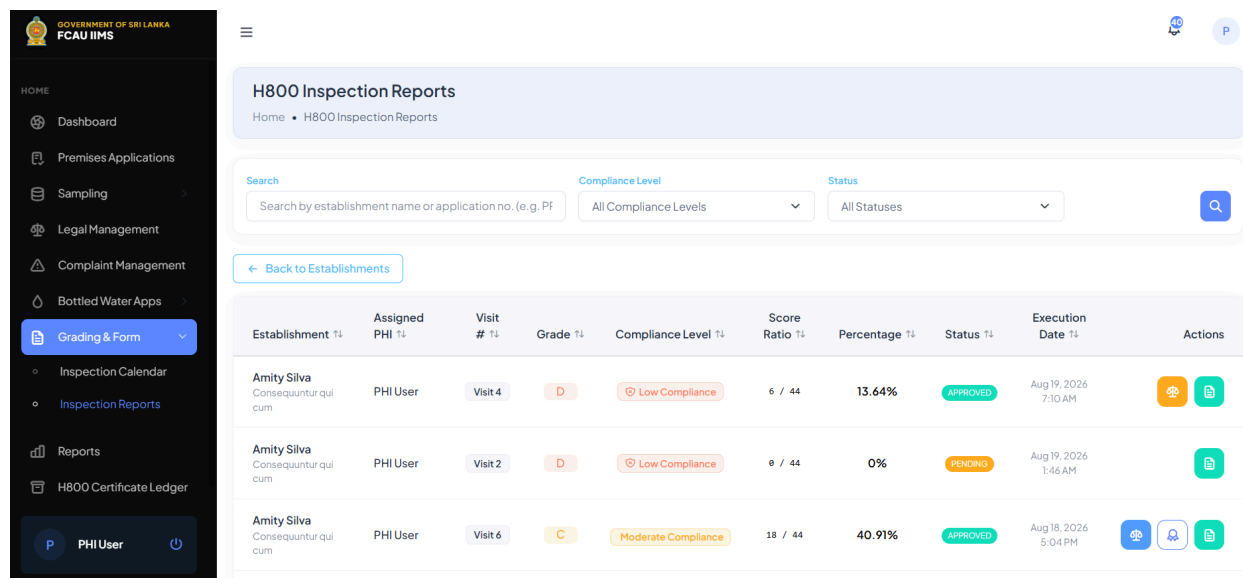
2. Violation Identification

A legal or enforcement case may be initiated when a regulatory violation is identified during routine regulatory activities.

Violations may originate from:

- Inspection and grading activities
- Laboratory testing and sampling results
- Follow-up inspections
- Compliance monitoring activities
- Complaint investigations
- Other regulatory enforcement activities

Once a potential violation is identified, the authorized officer reviews the findings and determines whether enforcement action is required.



Establishment [†]	Assigned PHI [†]	Visit # [†]	Grade [†]	Compliance Level [†]	Score Ratio [†]	Percentage [†]	Status [†]	Execution Date [†]	Actions
Amity Silva Consequuntur qui cum	PHI User	Visit 4	D	Low Compliance	6 / 44	13.64%	APPROVED	Aug 19, 2026 7:10 AM	 
Amity Silva Consequuntur qui cum	PHI User	Visit 2	D	Low Compliance	0 / 44	0%	PENDING	Aug 19, 2026 1:46 AM	
Amity Silva Consequuntur qui cum	PHI User	Visit 6	C	Moderate Compliance	18 / 44	40.91%	APPROVED	Aug 18, 2026 5:04 PM	  

Figure 1 Violation identification

2. Enforcement Case Creation

When enforcement action is necessary, the authorized officer creates an enforcement record within the system.

During case creation, the officer records the relevant regulatory information, including:

- Food classification
- Violation category or process type
- Applicable regulation or legal requirement
- Description of the violation
- Proposed enforcement action
- Supporting observations and evidence

The enforcement record becomes the primary reference for all subsequent regulatory actions.

3. Regulatory Action Determination

Following the assessment of the violation, the authorized officer selects the appropriate regulatory action based on the severity of the offence, public health implications, and applicable legal requirements.

The available enforcement actions include:

- Seized
- Prosecution
- Arrested

The screenshot displays the 'Legal Management' interface. On the left is a dark sidebar menu with various options, including 'Legal Management' which is currently selected. The main area has a light blue header bar with the title 'Legal Management' and a breadcrumb trail 'Home > Legal Management'. Below the header, there is a section titled 'Select Process Type' containing three radio button options: 'Seized', 'Prosecuted', and 'Arrested'. In the top right corner of the main content area, there is a button labeled 'Back to Registry'.

Figure 2 Process type selection page

4. Seized

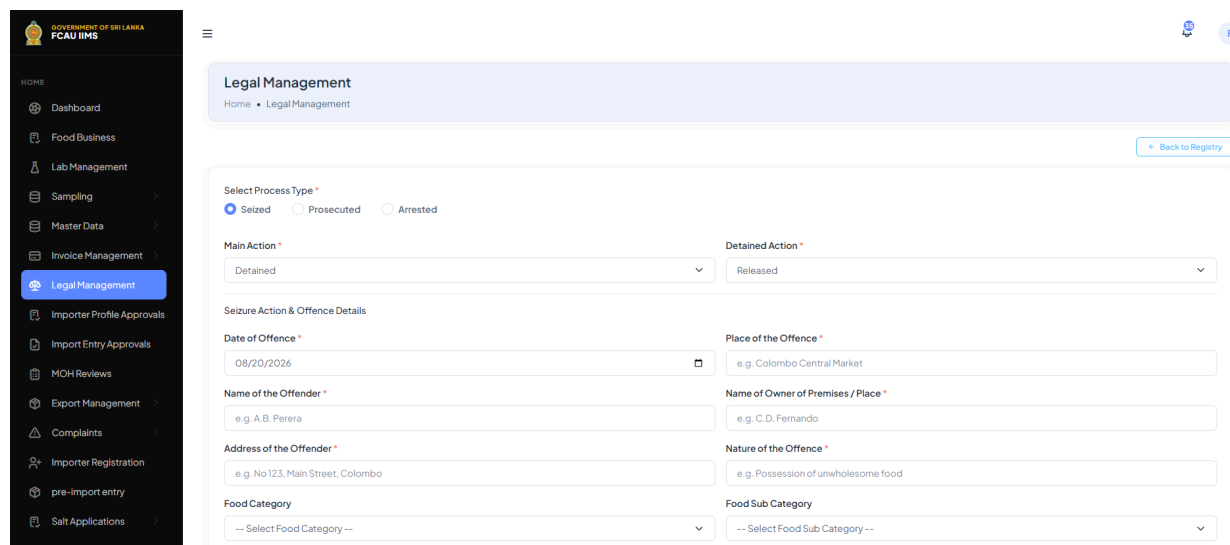
4.1 Release Action

Where compliance has been verified and no further enforcement action is required, the officer may approve the release of the product, consignment, or premises.

The system records:

- Release decision
- Justification for release
- Supporting documentation
- Approval details

The enforcement case is updated accordingly.



The screenshot displays the 'Legal Management' section of the PROCONS system. The left sidebar contains a navigation menu with options like Dashboard, Food Business, Lab Management, Sampling, Master Data, Invoice Management, Legal Management (highlighted), and various approval processes. The main content area is titled 'Legal Management' and includes a 'Back to Registry' button. The form is for a 'Seized' process type. It contains several fields: 'Main Action' (set to 'Detained'), 'Detained Action' (set to 'Released'), 'Date of Offence' (08/20/2026), 'Place of the Offence' (e.g. Colombo Central Market), 'Name of the Offender' (e.g. A.B. Perera), 'Name of Owner of Premises / Place' (e.g. C.D. Fernando), 'Address of the Offender' (e.g. No 123, Main Street, Colombo), 'Nature of the Offence' (e.g. Possession of unwholesome food), 'Food Category' (Select Food Category), and 'Food Sub Category' (Select Food Sub Category).

Figure 3 Release action form

4.2 Destruction Action

Where the product or consignment presents a safety risk or is found to be non-compliant with applicable regulations, the officer may recommend destruction.

The system records:

- Destruction decision
- Reason for destruction
- Supporting evidence
- Destruction-related observations
- Approval information

All destruction activities are retained as part of the enforcement record.

The screenshot displays the 'Legal Management' form within the PROCONS system. The left sidebar contains a navigation menu with options like Dashboard, Food Business, Lab Management, Sampling, Master Data, Invoice Management, Legal Management (highlighted), and various approval and management tasks. The main form area is titled 'Legal Management' and includes a 'Back to Registry' button. The form contains several sections: 'Select Process Type' with radio buttons for Seized, Prosecuted, and Arrested; 'Main Action' with a dropdown menu set to 'Destroyed'; 'Used for Other Purposes? (Ex: Animal Feeds)' with a dropdown menu set to 'Yes (Approval Received - Used for Animal Feeds/Other)'; 'Seizure Action & Offence Details' with fields for Date of Offence (08/20/2026), Name of the Offender (e.g. A.B. Perera), Address of the Offender (e.g. No 123, Main Street, Colombo), Place of the Offence (e.g. Colombo Central Market), Name of Owner of Premises / Place (e.g. C.D. Fernando), and Nature of the Offence (e.g. Possession of unwholesome food); and 'Food Category' and 'Food Sub Category' dropdown menus.

Figure 4 Destruction form

4.3 Detention Process

Where further investigation or verification is required, the officer may place the product, consignment, or premises under detention.

During detention:

1. The status is updated to indicate that the item is detained.
2. Relevant investigation activities continue.
3. Laboratory testing, legal review, or regulatory assessments may be conducted.
4. The detention record remains active until a final decision is reached.

Legal Management
Home • Legal Management

[Back to Registry](#)

Select Process Type *

☒ Seized ☐ Prosecuted ☐ Arrested

Main Action *

Detained

Detained Action *

-- Select Detained Action --

Figure 5 Detention form

4.4 Detention Outcome

Following completion of the required investigations, laboratory analysis, or court proceedings, a final decision is recorded.

The detention may result in one of the following outcomes.

4.4.1 Release

Where the investigation confirms compliance, the detention is removed and the product or consignment is released.

4.4.2 Destruction

Where the investigation confirms non-compliance or public health risks, the product or consignment is approved for destruction. Some products are used for other purposes like Animal Feeds.

4.4.3 Escalation to Prosecution

Where serious violations are identified, or where legal proceedings are required, the case is escalated to the prosecution process.

5. Prosecution and Arrested

For cases requiring legal action, the authorized officer initiates a prosecution record within the system.

During prosecution setup, the applicable legal basis is selected.

Available options include:

- Food Act
- Food Regulations
- Food Act and Food Regulations Both

The officer records all relevant legal information associated with the case.

Figure 6 Arrested form

6. Court and Case Management

The system supports the management of prosecution and court-related information throughout the legal process.

Information that may be recorded in the **Prosecution Register** includes:

- Case reference number
- Court details
- Filing dates
- Hearing dates
- Legal observations

- Court decisions
- Verdict information
- Final case outcome

All updates are maintained as part of the prosecution record.

The screenshot shows the 'Legal Management' section of the PROCONS system. The left sidebar lists various modules, with 'Legal Management' highlighted. The main form area is titled 'Prosecution Register' and contains the following fields:

- Select Process Type ***: Radio buttons for Seized, Prosecuted (selected), and Arrested.
- Prosecution Action Basis ***: Radio buttons for Food Act, Regulations, and Food Act & Regulations Both (selected).
- Regulations Main Category ***: A dropdown menu with 'REG-BTL - Bottled & Packaged Water Regulations' selected.
- Regulations Sub Category ***: A dropdown menu with 'REG-BTL-02 - Ozonation & Filtration Deficiencies' selected.
- Originating Type**: A dropdown menu with 'Other (Manual)' selected.
- Case Registry No ***: A text field containing 'FP/2024/08/20/1'.
- Date of Offence ***: A date picker showing '08/20/2026'.
- Place of the Offence ***: A text field.
- Name of the Offender ***: A text field.
- Name of Owner of Premises / Place ***: A text field.
- Address of the Offender ***: A text field.
- Nature of the Offence ***: A text field.

Figure 7 prosecution form

Case Closure and Archiving

Upon completion of the legal process, the enforcement case is formally closed.

The system archives all related records, including:

- Violation details
- Enforcement actions
- Inspection findings
- Laboratory reports
- Evidence and attachments
- Court records
- Decisions and outcomes
- Approval history

Archived records remain available for reporting, regulatory reference, compliance monitoring, and audit purposes.

Legal Management
Home • Legal Management

Manage legal, prosecution, and enforcement registry records. [+ New Entry](#)

Search: Serial, Offender, Owner, Place...
 Process Type: All Process Types
 Originating Type: All Types
 Status: All Statuses

Case Registry No	Originating Type	Process Type	Offender Name	Place of Offence	Nature of Offence	Status	Actions
FP/2024/08/19/10 19 Aug 2026	PROSECUTED	Other	Raya Spence Vero voluptatibus te	Dakota Owen	25 Oct 2006	Pending	View Edit Delete
FP/2024/08/19/9 19 Aug 2026	SEIZED	Import Control	Suganya Contact ss	Suganya Test	19 Aug 2026	Pending	View Edit Delete
FP/2024/08/19/8 19 Aug 2026	SEIZED	Import Control	Suganya Contact bitbreeng	Suganya Test	19 Aug 2026	Pending	View Edit Delete
FP/2024/08/19/7 19 Aug 2026	SEIZED	Import Control	Suganya Contact Sample	Suganya Test	19 Aug 2026	Closed	View
FP/2024/08/19/6 19 Aug 2026	ARRESTED	Inspection & Grading	Amity Silva Consequuntur qui cum	Amity Silva	19 Aug 2026	Closed	View

Figure 8 Legal records

Audit Trail and Reporting

All enforcement and legal activities are recorded within the system to ensure complete traceability and accountability.

Authorized users may review historical records, monitor enforcement trends, generate reports, and access case histories according to their assigned permissions.

The audit trail captures all significant actions performed throughout the lifecycle of the enforcement case.